

\$QWLQRFLFHSWLYH 3URSHUWLH7H B P LQH VZ QDQ R
:LVWDU 5DWV

-DQH : 0EL6LFKDQJL .DWWOLFEN ' .LVWDLKDKHO 1 00DWBZ 1 B1GURLOWRQ 0 0ELQGD
'HSDUWPHQW RI %LRFKHPLVWU\ DQG %LRWHFKQRORJ\ 6FKRRO RI 3XUH DQG \$SSOLHG 6FLHQFHV 6RXWK
'HSDUWPHQW RI %LRORJ\ 6RXWK (DVWHUQ .HQ\D 8QLYHUVLW\ .HQ\D
'HSDUWPHQW RI %LRFKHPLVWU\ DQG %LRWHFKQRORJ\ .HQ\DWWD 8QLYHUVLW\ .HQ\D
'HSDUWPHQW RI 3K\VLFDQ 6FLHQFHV 6FKRRO RI 3XUH DQG \$SSOLHG 6FLHQFHV .DUDWLQD 8QLYHUVLW\
&RUUHVSRRGLQJ DQWKLUL 'HSDUWPHQW RI %LRFKHPLVWU\ DQG %LRWHFKQRORJ\ 6FKRRO RI 3XUH DQ
%R[.LWXL .HQ\D 7HO MDQH PE(LDLO JPDLO FRP
5HFHLYHG GDWH \$XJXVW \$XJ\$FVH SWHG GDWH D\$XKXVGDWH
&RSULJKW 0ELUL :- HW DO 7KLV LV DQ RSHQ DFFHVV DUWLFOH GLVWULEXWHG XQGHU WKH WHUPV RI
XVH GLVWULEXWLRQ DQG UHSURGXFWLRQ LQ DQ\ PHGLXP SURYLGHG WKH RULJLQDO DXWKRU DQG VRXU

WKH DQWLQRFLFHSWLYH DFWLYLW\ RI WKH H[WUDFW DW GRVH OHYHOV DQG
 PJ NJ EZ ZDV FRPSDUDEOH WR WKDW RI WKH UHIHUHQFH GUXJ GLFORIHQDF
 H DQWLQRFLFHSWLYH DFWLYLW\ RI WKH H[WUDFW DW GRVH OHYHOV DQG
 PDQLHYVHG PDLPDO DQWLQRFLFHSWLYH
 DFWLYLW\ RI WKH H[WUDFW DW GRVH OHYHOV DQG
 QHJDWLYH DQG' QRUPDOLQ

*URXS	7UHDWPHQW	3DZ /LFNLQJ 7LPH \$IWHU 7UHDWPHQW 6HF	
		(DUO\ 3KDVH	/DWH 3KDVH
1RUPDO FRQWURO	1RQH	" G	" G
1HJDWLYH FRQWURO)RUPDOLQ '062	" D	" D
3RVLWLYH FRQWURO)RUPDOLQ GLFORIHQDF '062	" F	" F
((SHULPHQWDO *URXS	\$RUPDOLQ PJ NJ EZ '062	" D	" E
((SHULPHQWDO *URXS	%RUPDOLQ PJ NJ EZ '062	" E	" F
((SHULPHQWDO *URXS	&RUPDOLQ PJ NJ EZ '062	" F	" F

9DOXHV ZHUH H[SUHVVHG DV 0HDQ " 6(0 IRU WKH ILYH UDWV SHU JURXS 6WDWLVLWLFDO FRPSDULVRQV Z
 VLJQLILFDQWO\ GLIIHUHQW E\ \$129\$ IROORZHG E\ 7XNH\TV SRVW KRF WHVW S" 9DOXHV LQ EUDFNHW

7DEOH 1: DQWLQRFLFHSWLYH DFWLYLW\ RI WKH PHWKDQROLF EDUN H[WUDFW RI

n L V F X V M L R Q b 0 b . 0 # C @ C 0 b G

H DQWLQRFLFHSWLYH DFWLYLW\ RI WKH PHWKDQROLF EDUN H[WUDFW RI
 EURZZLV HYDOXDWHG RQ IRUPDOLQ GQSDXZHG SDLQ LQ WKH
 RI PDOH :LVWDU UDWV \$FXWH WKH LFNDO DVVD\ V OLNH WKH KRW SODWH WDLO
 DQG +DUJUHDYHV WHVWV DUH RWKHU PRGHV WKDW KDYH EHHQ XVHG WR VFU
 IRU WKH DQWLQRFLFHSWLYH DFWLYLWLV RI YDULRXV DJHQV > @ \$FHWLF DFL
 DOVR EH XVHG WR LQGXFH SDLQ > @ +RZHYHU WKH IRUPDOLQ DVVD\ ZDV
 FKRVLQ RYHU RWKHU PRGHV EHFDXVH LW KDV WKH SRWHQWLDO WR PLPLF KXPD
 FOLQLFDO SDLQ FRQGLWLRQV > @ ZLWK WKH IUHHO\ PRYLQJ XQUHVWUDLQHG
 DQLPDOV DOORZLQJ IRU WKH REVHUYDWLRQ RI VSRQWDQHRXV SDLQ UHODWHG
 UHVSQRVHV > @)RUPDOLQ SURGXFHV D UHVSQRVH LQ WZR GLVWLQFW SKDVHV
 DQG WKLV DOORZV UHVHDUFKHUV WR PRGHO ERWK DFXWH DQG FKURQLF SDLQ XV
 D VLQJOH QGpO\ V DOOPpEOV WSDLQ FRH Jp1ZGXFHV D000D VLQJ' O €•RUP'0VD` LV
 PpPaA b c P a c R a h Q p

EH VXJJHVWHG WKDW DW WKH GRVH OHYHO RI PJ NJ EZ WKH H[WUDFW
GHPRQVWUDWHG WKH KLJKHVW DQWLQRFLFHSWLYH DFWLYLW\ GXH WR WKH SUHV
RIMXyFLFRQVHFHQWUDWLRQURRGLSHOH V LQ WKH H[WUDFW

H SK\WRFKHPLFDO FRPSRZDVLREHHQ ZLGHO\
VWXGLHG DQG YDULRXV SK\WRFKHPLFDO FRQVWLWXHQWV KDYH EHHQ
H[LWV LQ WKLV PHHGLHQGHSDSRQLQV SRO\SKHQROV
~DYDQSLKWRVWHUROV WQQQLQV FRXPDULQV DONDORLGV WHUSHQRLGV
SKHQROV DQG VWHURLGV > @ 6HYHUDO FRPSRXQGV KDYH DOVR EHHQ
LGHQWLYDULRXVHVWDFWLYGLQJ EH\WXOLQLF DFLG
VLWRVWHURO DUMXQJHQLQ VWLJPDVWHURO PRQRJ\QRO \$ > @ VHYHQ HOODJ
DFLG GHULYD\W\XFRS\WVWHURO DQG DQ
ROHDQDQH W\SH WULWHUSHQRLG > @

H DQWLQRFLFHSWLYH SURSHUWLHV RI VRPH RI WKHVH VFRQGDUI\
PHWDEROLWHV KDYH EHHQ UHYHDOHG)ODYRQRLGV H[KLELW DQWLQRFLFHSWLY
DFWLYLW\ > @)ODYRQRLGV LQKLELW WKH DFWLYLW\ RI WKH HQ]\PH HQGR
SHUR[LGDVH SURVWDJODQGLQ V\QWKHWDVH OHDGLQJ WR D UHGXFWRQ RI WK
V\QWKHVLV DQG UHOHDVH RI SURVWDJODQGLQV D SDLQ PHGLDWRU > @
3URVWDJODQGLQV DUH LQYROYHG LQ SDLQ SHUFHSWLRQ WKHUHIRUH DJHQWV V

,ELURQNSMLER\H .

6\$XV LHLQ-RDPRD\HRU\