

Among the various functions of Pls, special attention is focused on the antioxidant and anti-neuroinflammatory properties that are linked to the chemical structure of Pls characterized by the vinyl ether bond at the sn-1 position of glycerol backbone. Other well-known properties include ion transport, membrane fusion, cholesterol efflux, and precursor of biologically active substances. These properties are all vital to maintain life [4-7].

We developed a simple method to extract large amounts of Pls from animals, and have accelerated research on Pls treatment and AD [16]. Our studies in animal models demonstrated that Pls reduced β -amyloid accumulation and improved cognitive and memory functions by suppressing neuroinflammation [17-19]. We further conducted a placebo-controlled trial, in which Pls were orally administered to

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Variable	All	Dose per day		P value*
		1.0 mg	0.5 mg	
Number of patients	n = 142	n = 74	n = 68	
Male, n (%)	54 (38.0)	32 (43.2%)	22 (32.4%)	0.18
Age in year	77.6 (5.2)	76.6 (4.9)	78.5 (5.3)	0.03
MMSE	13.2 (5.1)	13.4 (5.0)	13.0 (5.2)	0.66
Erythrocyte PlsPE (%)	7.88 (0.89)	7.90 (0.96)	7.86 (0.80)	0.78
Plasma PlsPE (mg/dl)†	2.96 (1.23)	3.10 (1.20)	2.83 (1.25)	0.22

Values are mean (SD) unless otherwise specified.

MMSE= Mini-Mental State Examination.

PlsPE= phosphatidylethanolamine plasmalogen.

*Unpaired t-test for mean and chi-square test for proportions in the between-dose comparison.

†Number of patients: n=62 in the 1.0 mg group and n=63 in the 0.5 mg group.

Table 1: Baseline characteristics of participants.

CI = confidence interval.

MMSE= Mini-Mental State Examination.

PlsPE= phosphatidylethanolamine plasmalogen.

*Unpaired t-test for difference between 1.0 mg and 0.5 mg groups.

†Number of patients: n= 67 in the 0.5 mg group.

‡Number of patients: n= 62 in the 1.0 mg group and n= 63 in the 0.5 mg group.

Table 2: Mean changes at 12 weeks from baseline in MMSE score and blood plasmalogen levels by treatment.

significantly increased in the 1.0 mg group ($P < 10^{-4}$) and 0.5 mg group ($P < 10^{-6}$). The increase seemed to be greater in the 0.5 mg group, but the between-group difference was far from the statistical significance ($P = 0.12$). The item-specific analysis showed a significant improvement with respect to orientation to time, orientation to place, three-word registration, attention and calculation, and three-word recall in the whole subjects. None of these changes did not significantly differ by treatment dose.

Both erythrocyte and plasma levels of PlsPE increased significantly in the whole patients ($P = 0.001$ for erythrocyte PlsPE and $P < 10^{-8}$ for plasma PlsPE). While the increase in erythrocyte PlsPE did not significantly differ in the 1.0 mg and 0.5 mg groups, plasma PlsPE increased more markedly in the 0.5 mg group than in the 1.0 mg group ($P = 0.001$).

Categorical assessment of post-treatment change in MMSE

The post-treatment MMSE score improved in more than half of the patients. Numbers of the patients according to improvement categories were: remarkable improvement 36 (25.4%), improvement 38 (26.8%), no change 52 (36.6%), and worsening 16 (11.3%). The distribution of improvement categories did not differ by dose group ($P = 0.65$), as illustrated in Figure 2.

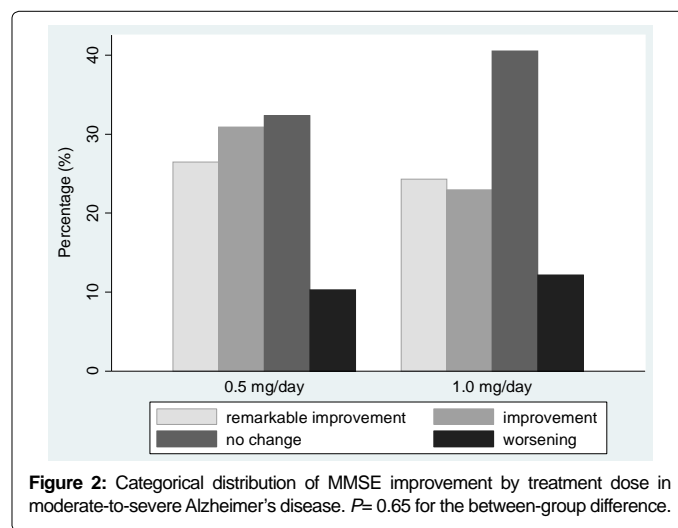


Figure 2: Categorical distribution of MMSE improvement by treatment dose in moderate-to-severe Alzheimer's disease. $P = 0.65$ for the between-group difference.

Relation of change in blood PlsPE to change in MMSE

The change in erythrocyte PlsPE, but not the change in plasma

PlsPE, showed a modest degree of correlation with the change in MMSE score (Figure 3). Pearson's correlation coefficients were 0.20 ($P=0.01$) for erythrocyte PlsPE and 0.12 ($P=0.18$) for plasma PlsPE.

Clinical safety

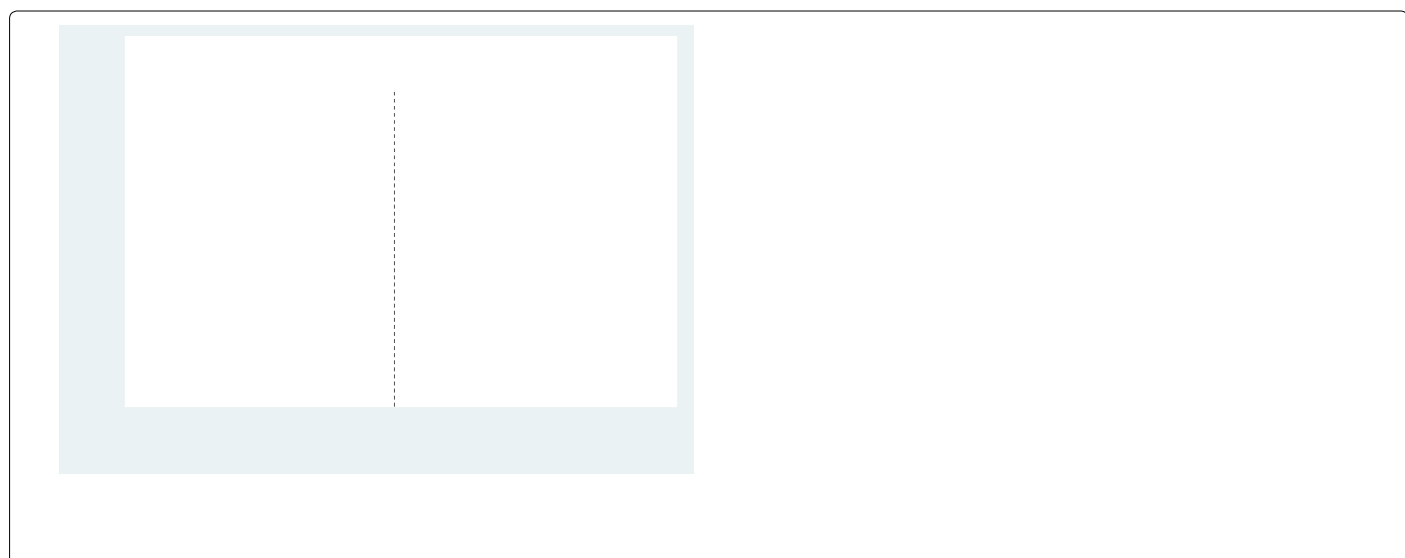
Observed adverse events are listed in Table 3. Out of 157 participants enrolled in the study, 22 patients reported 23 adverse events. The reported adverse events were unrelated to the Pls treatment except for one episode, in which manic state was reported to be possibly related to Pls ingestion.

Discussion and Conclusion

The present study showed that Pls ingestion resulted in an evident improvement in cognitive function as measured by MMSE in moderate-to-severe AD patients regardless of ingested dose (1.0 mg or 0.5 mg per day). The findings add to evidence that Pls are beneficial in AD patients [20,21].

The present study did not set a control (placebo) group, thereby causing a concern that the observed beneficial effect may have been ascribed to a placebo effect. It is, however, conjectured that placebo effect is unlikely to appear in moderate-to-severe AD in the same way as observed in general physical illnesses and symptoms. Patients with moderate-to-severe AD are considered to be less likely to develop the concepts of *placebo* and *nocebo* due to impaired cognitive function under the premise that placebo effect is produced by patients' *placebo* and *nocebo* [23,24].

To address the magnitude of placebo effect, we retrieved randomized-controlled trials of Japanese patients with moderate and severe AD by using PubMed and CiNii database. Studies of Japanese patients only were selected so as to eliminate ethnicity-related bias [25-27]. The placebo group in each study consistently exhibited a deterioration in cognitive function as measured by the Severe Impairment Battery and Alzheimer's Disease Assessment Scale-cognitive subscale in the 12-week period of treatment [25-27]. One of these studies measured MMSE and reported a decrease of 0.3 points at 24 weeks of placebo treatment [26]. While there is no direct evidence that placebo does not improve MMSE score at 12 weeks of treatment, placebo effect, if any,



is unlikely to convert the natural course of deterioration in the MMSE score in AD patients [28,29]. It is notable that a quarter of the patients exhibited a remarkable improvement in cognitive function (increase of 4 points in MMSE). Furthermore, the positive correlation between the post-treatment change in erythrocyte PlsPE and the change in MMSE was an important finding to support the effect of Pls improving cognitive function.

A clear improvement in MMSE was observed in both of the 1.0 mg and 0.5 mg groups, and no measurable difference was observed between the two groups. It is very interesting that very small amounts of Pls had a favorable effect on cognitive function. Recent studies have identified the counterpart of Pls among orphan G protein-coupled receptors on neuronal membrane, suggesting that Pls are a hormone-like substance [19,30,31]. This functional property may be a possible reason for Pls of small amounts such as 1.0 mg or 0.5 mg per day exerting an overt effect of improving cognitive function.

It is also interesting that improvement was more remarkable in moderate-to-severe AD than in mild AD and MCI with the same dosage of Pls. In a previously reported study, patients with mild AD and MCI showed a small increase of 0.40 points in the MMSE score (95% CI 0.04–0.80 points) after a 12-week of treatment with Pls of 1.0 mg/day [20]. It could be possible that patients with moderate-to-severe AD have relatively less normal brain tissue and more damaged tissue due to brain atrophy than those with mild AD and MCI, and therefore the former may demand and consume less hormone-like substance, Pls, than the latter. In addition, the latter is almost as high in the brain activity as healthy individuals, and thus consumes Pls as much as healthy individuals. On the other hand, the brain activity of moderate-to-severe AD is lower and consumes less Pls than that of healthy individuals. This hypothetical reasoning leads to a conclusion that even a very small amount of Pls is effective for moderate-to-severe AD. Conversely, if a larger amount of Pls (i.e., 2–3 mg per day) is administered to mild AD and MCI, the same effect as moderate-to-severe AD might be attained. Further studies concerning the dose-response effect are needed to determine the influence of severity.

Post-treatment Pls levels increased by 0.22% in erythrocytes and 0.70 mg/dL in plasma. It may be of interest how low the Pls levels at baseline were in comparison with the levels of normal subjects and to what extent the post-treatment Pls approached to the normal levels. In our unpublished data of 39 elderly aged 65–86 years with normal cognitive function (MMSE 29–30), the mean values of erythrocyte and plasma Pls were 8.56% (SD 0.94%) and 4.27 mg/dL (SD 1.07 mg/dL), respectively. At baseline, erythrocyte Pls were relatively 8% lower ($P < 10^{-4}$) and plasma Pls were 31% lower ($P < 10^{-7}$) as compared with the respective values of the normal elderly. The post-treatment erythrocyte and plasma Pls still remained to be 5% lower ($P = 0.006$) and 14% lower ($P = 0.03$), respectively. These findings suggest that the measurement of blood Pls is valuable to assess the severity and treatment progress in AD. It remains to be confirmed whether higher dosages of Pls can result in further increases in blood Pls levels nearer to the normal levels.

Plasma PlsPE increased more markedly after treatment in the 0.5 mg group than in the 1.0 mg group while erythrocyte PlsPE did not show such a between-dose difference. We have no clear explanation for this rather puzzling finding. The finding might be a chance because plasma PlsPE at baseline were slightly lower in the 0.5 mg group. Alternatively, there might be an unknown reason related to the interplay between erythrocyte and plasma Pls. Erythrocyte and plasma Pls were correlated with each other to some extent: Pearson's correlation coefficients were 0.33 for baseline values and 0.31 for post-treatment change. Further

research is needed to clarify the effects of Pls dose on erythrocyte and plasma levels of Pls.

This study has some problems and limitations including a short duration of Pls administration in addition to being open-labelled study. A randomized controlled trial of a longer period in patients with moderate-to-severe AD is highly warranted in the near future.

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