

0DULMXDQD 9LROHQFH DQG /DZ

1RUPDQ 6 0DQGHYUKH2EHOQDUQVFKHLGW

6WXGLHV 6KRZ DQ \$VVRFLDWLRQ EH WZH HQ ODULMDQD DQG  
0HQWD O RU 3K\VL FDO &RQVHTXHQFH V

6WXGLHV VKRZ YLROHQFH DQG DJJUHVVL RQ ZLWK PDULMDQD XVH  
ODULMDQD LQWR[LFDWLRQ UHVXOWV LQ SDQLF UHDFWLRQV DQG SDUDQRLG  
IHHOLQJV ZKRVH V\PSWRPV \HRI YHRDQH\ORHV @  
RI FRQWURO DQG SDQLF LV DVVRFLDW HG ZLWK YLROHQFH > @ \$OVR  
PDULMDQD XVH LQFUHDVHV KHDUW UDWH ZKLFK PD\ EH DVVRFLDW HG ZLWK  
YLROHQW EHKDYL RXU > @

:KHQ SHR SOH VWR S XVLQJ PDULMDQD WKH\ PD\ H[SHULHQFH D YDULHW\ RI  
ZLWKGDZDO V\PSWRPV LQFOXGLQJ VOHHS GLVWXUEDQFH LUULWDELOLW\ RU  
UHVWOHV VQHV ORVV RI DSSHWLWH DQ[LHW\ DQG VZHDWLQJ > @  
([SHULHQFLQJ DQ\ RI WKHVH V\PSWRPV FDQ PDNH D SHUVRQ DQJU\ UDQJLQJ  
IURP PLOG LUULWDWLRQ WR YLROHQW UD JH ODULMDQD ZLWKGDZDO FDQ OH D G  
LQWLPLGDWLQJ YLROHQW RU EXOO\LQJ EHKDYL RU HQGDQJHULQJ WKH SHUSHWUD  
RU RWKHU SHR SOH DQG SURSHUW\ > @

,Q LQFDUFHUDWHG VXEMHFWV VWXGLHV IRXQG WKDW RQH WKLUG RI WKH VXEMHFWV  
WKDW FRPPLWWHG KRPLFLGH KDG XVHG PDULMDQD WZH QW\ IRXU KRXUV  
EH IRUH WKH KRPLFLGH )XUWKHU WKUHH TXDUWHUV RI WKRVH VXEMHFWV ZHUH

2VDP ELQ /DGH QDUDQRLED 3V\FKRVLV

7DEOHDULMXDQD XVHV DQG V\PSWRPV

'LVFXVVLRQ

:H DSSO\ WKH UHVXOWV RI WKH UHVHDUFK UHJDUGLQJ WKH UROH RI PDULMXDQD LQ YLROHQFH :H XVH FRQFHSHWV VXFK DV SHUVRQDOLW\ FKDQJHV SHUSHWUDWR YLROHQFH DQG SV\FKRVLV WR HVWDEOLVK RXU DVVRFLDWLRQ RI PDULMXDQD ZL WKH XQIRUWXQDSWU SFRDWHV\ V WR LOOXVWUDWH QHJDWLHYH EXW SUHYHQWDEOH WUDJLF RXWFRPHV GXH WR PDULMXDQD DQG LWV UROH LQ YLROH H RYHUDOO REMHFWLYH LV WR LGHQWLIV WKH UROH RI PDULMXDQD DQG WR VXJJ LW LV DYRLGDEOH DQG FDXVDO QDWXUH LQ LQGXFLQJ YLROHQFH > @

,Q DOO WKH FDVHV VHOHFWHG PDULMXDQD XVH ZDV SUHVHQW )RU VRPH RI WK LQGLYLGXDOV PFRQW\XDIQG XVH ZDV

3V\FKRVLV & KD UW

H UH YLHZ GRHV QRW SURYH D FD X VDO UHHM OUDB WARSQUNHKVLS E HWZH H' Q DRIB BWW X K D Q D 0  
D QG YLROHQFH LQ WKHVH FD VHV 5 DWKHF KXW FHKW D EOLVKHV D K LJKO\ G R F X P HQV  
DV V RFLDWLRQ EHWZHHQ PDULMXDQD D QG 3%LOR OHQF L R J \ D G KU B 02 V D P D Q C L D Q / Q G X H G I  
FDXVDWLRQ FDQ EH DSSOLHG WR LOOXVWWD WDH KWGLW R D V S R FW D W L R Q 1 < \$ ' O FRIDPQ V F  
fEXW IRUG WKH DF WLRQV RU FLUFXPVWD QFHL M X D Q H L Q H R Q W G ZR X R Q GL Q F R W D K D Y A  
RFFXUUHG \$ SUR[LPDWH FD X VH LV WKH UHDPR KUW YZDV f) PRXUH E A H U L E H O H g D R Q V P G / HRW  
WKH IDF W V DHQ GP RD F W W L R Q N H O\ OHJDO D QG YSLR R H QFDWHP R QDXF V D R Q D E L V JURZHU V , Q W -  
YLROHQFH LQ WKHVH FD VHV ZDV WKH X V H & H Q W H U L Q W R [L F D W L R Q IURP P D U L M X D Q D  
1 R RWK HUI X D M Q H E V H V H T X L U H P H Q W V

& RQFOXVLRQ

\$FFRUGLQJ WR UHVHDUFK VWXGLHV PDULMXDQD XVH FDXVHV DJJUHVVLYH  
EHKDYLHU FDXVHV RU H[DFHUEDWHV SV\FKRVLV DQG SURGXFH SDUDQRLDV  
HVHHvHF\NDWH EHHQ LOOXVWUDWHG WKURXJK FDVH VWXGLHV RI KLJKO\|  
SXEOLFL]HG LQFLGHQWVS DQ\KMLJKWHQHG SROLWLFDO

HVHF DVHV FRQWDLQ H[DPSOHV RI UHSHDWHG LOOXVWUDWLRQV RI DJJUHVVLRQ  
SV\FKRVLV DQG SDUDQRLD E\ PDULMXDQD XVHUV DQG LQWR[LFDWLRQ  
8OWLPDWHO\ ZLWKRXW WKH XVH DQG LQWR[LFDWLRQ RI PDULMXDQD WKH SRRU  
MXGJPHQW DQG PLVSHUFHSWLRQV GLVSOD\HG E\ WKHVH LQGLYLGXDOV ZRXOG QR  
KDYH EHHQ SUHVHQW UHGXFHQJ WKH ULVN IRU DFWLRQV WKDW UHVXOW LQ VHQV  
GHDWKV

,PSRUW WR WKHVH DVVHUWLQV LV WKDW WKH FXUUHQW PDULMxDQD LV IDU P  
SRWHQW LQ 7+& FRQFHQWUDWLQV WKH SV\FKRDFWLYH FRPSRQHQW  
\$FFRUGLQJO\ DQG GHPRQVWUDWHG LQ GLUHFW VXGLHV PRUH SRWHQW  
PDULMxDQD UHVXOWV LQ D JUHDWHU ULVN IRU SDUDQRLG WKLQNLQJ DQG SV\FKR  
,Q WXUQ SDUDQRLG EHKDYL鲁 LQFUHDVHV WKH ULVN IRU SDUDQRLG EHKDYL鲁  
DQG SUHGLFWDEO\ DVVRFLDWHG ZLWK DJJUHVVLYH DQG YLROHQW EHKDYLUV

ODULMXDQD XVH FDXVHV YLROHQW EHKDYLHU WKURXJK LQFUDVHG  
DJUHVVLYHQHVV SDUDQRLED DQG SHUVRQDOLW\ FKDQJHV PRUH VXVSLFLRXV  
DJUHVVLYH DQG DQJHU

5HFHQW LOOLFLW DQG fPHGLFDO PDULMXDQDg HVSHFLDOO\ JURZQ E\ FDUH  
JLYHUV IRU PHGLFDO PDULMXDQD LV RI PXFK KLJK SRWHQF\ DQG PRUH OLNHO\  
WR FDXVH YLROHQW EHKDYLRLU

ODULMxDQD XVH HDG~~G~~W~~K~~W~~X~~ODG~~EY~~H~~I~~UFRHQVLGHUHG LQ FDVHV  
RI DFWV RI YLROHQFH DV LWV UROH LV SURSHUO\ DVV LJQ

5HFRJQLJH WKDW KLJK SRWHQF\ PDULMXDQD LV D SUHGLFWDEOH DQG  
SUHYHQWDEOH FDXVH RI WUDJLF YLROHQW FRQVHTXHQFHV

5 HIH UHQFHV

H 1HZ <RUN 7LPHV :KDW KDSSHQHG LQ IHUJXVRQ"  
&11 7UD\YRQ PDUWLQ VKRRWLQJ IDVW IDFWV  
3%6 1HZVKRXU &KLFDJR UR\OHD\W\Q\O\DSKLF YLGHR RI  
VKRRWLQJ \HDU ROG /DTXDQ 0F'RQDOG  
/DQVLQJ VWDWH MRXUQDO WLUPDW\MLOSH RI IDWDO GHYHQ JXLOIRUG  
&11 :KDW ZH NQRZ GRQdW NQRZ DERXW )UHGGLH \*UD\dV GHDWK  
\$GGFLWLRQ 0DULMXDQD DQG GULYLQJ LPSDLUPHQW  
1DWLRQDO ,QVWLWXWH RQ 'UXJ \$EXVH 'UXJ IDFWV 'UXJJHG GUL  
/LYH VFLHQFH 5LGLQJ KLJK 3RW VPRNLQJ GULYHUV HYDGH EORI  
135 3ODQQHG SDUHQWKRRG VKRRWLQJ VXVSHFW 5REHUW /HZLV  
DSSHDU LQ FRXUW ORQGD\  
1%& QHZV \*XQPDQ NLOOV LQFOXGLQJ IDPLO\ WKHQ KLPVHO  
OLVVRXUL VKRRWLQJ UDPSDJH  
H 1HZ <RUN 7LPHV -DUHG /HH /RXJKQHU  
&%6 QHZV ')KRNKDU SDUQG\OHDW\KH\OTD\DUQDHY  
%U\BWKHUV

\* R R G P D Q - 3 D F N D U S L O Q ~ X H Q F H